



RKUNIVERSITY

Policy Title:	Research and IPFC Policy
Policy No.:	RKUN/17/01/01
Last updated on:	10th January, 2017
Approved By:	Board of Management & Provost (VC), RK University
Applicability of Policy:	University wide
Policy Purpose:	This policy delineates framework of managing IPFC at RK University

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Acronyms:

RKU – RK University

UGC – University Grants Commission

IPFC – Intellectual Property Facilitation Centre

IP – Intellectual Property

IPR – Intellectual Property Rights

SOE – School of Engineering

SOM – School of Management

SOS – School of Science

SOP - School of Pharmacy

SPT - School of Physiotherapy

VC – Vice Chancellor

FDSR - Faculty of Doctoral Study and Research

FDP – Faculty Development Program

CA – Chartered Accountant

CFO – Chief Finance Officer

Definitions:

- a. "University" means the RK University formed as per Gujarat Act no. 25 of 2011 by the Government of Gujarat.
- b. "Faculty of Studies" means a group of University schools concerned with a major division.
- c. "School" means the Constituent institutes of RK University
- d. "Programs" means various programs run by RK University under various Faculty of Studies and Schools.

- e. "Policy" means a set of basic principles and associated guidelines, formulated and enforced by the governing body of university, to direct and limit its actions in pursuit of long term goals.
- f. "Intellectual Property" (IP) refers to creations of the mind, such as inventions; literary and artistic works; designs; and symbols, names and images.
- g. "Inventor" mean a person covered by this policy who individually or jointly with others makes an Invention and who meets the criteria for inventor-ship under Indian patent laws and regulations
- h. "Invention" means any patentable or potentially patentable idea, discovery or know-how and any associated or supporting technology that is required for development or application of the idea, discovery or know-how.

Policy Background:

RK University is a state private university established and approved by Govt. of Gujarat under Private University act, 2009. In accordance with the guidelines of the Act and UGC regulations, Research and IPFC policy of the University has been drafted to provide clarity, transparency and uniformity to the University research endeavour.

Policy Objective:

To build university wide research and IPR culture and enabling an environment that facilitates collaborative research which pushes frontiers of knowledge and enhances societal wellbeing.

Research Administration :

Provost (Vice Chancellor) is the principal academic officer of the university and oversees all academic matters including research. In matters pertaining to research, the provost is supported by the Dean Faculty of Doctoral Studies & Research. At RKU, research activities are carried out at School level as well as at Research Centre level. To promote, regulate, monitor and administer a multitude of research-related activities in RK University, various committees are formed. These committees function at central level or at individual scheme level. All committees and their activities fall under the purview of the Faculty of Doctoral Study and Research (FDSR) of RK University. The Dean, FDSR shall be responsible for encouraging, guiding, monitoring, collecting data thereof and reporting the same to the Central Research Council.

Central Research Council:

Central Research Council is the apex committee entrusted with the task of formulation, promotion and administration of research policy at university level. The committee is also responsible for screening, selection and fund allocation to the proposals received. The council supervises all activities under different schemes to ascertain that norms and mandates of the concerned funding agency are complied by the fund-receiving coordinator, faculty or student.

Central Research Council comprises

Sr. no.	Designation	Nomination
1.	Exe. Vice President	Patron
2.	Vice President	Patron
3.	Provost/Vice Chancellor (Head of the University)	Chairperson
4.	Dean, FDSR (Central Coordinator)	Member Secretary
5.	Dean faculty of Studies (to be nominated)	Member
7.	Registrar (Administrative Head)	Member
8.	Chief Finance Officer (CFO) (Financial Advisor)	Member
9.	Eminent Industry Expert (Industrialist/ innovator/ Investor)	Member
10.	Eminent Alumni (having own Startup/ Patent/ Innovation)	Member

Concerned Director of a School of the University or representative of him may attend the meeting of the council (as an invited member), along with the concerned faculty or student (to represent the case/proposal), as and when required. The council may also include domain experts as invited members whenever need arises.

The council will meet at least bi annually (once in every semester) or whenever a need arises to review the applications received for funds or support.

While the council is processing funding applications, Dean, FDSR (as Member Secretary), shall keep the committee informed regarding budgetary norms, limits, status of funds available and other provisions specified by the concerned funding agency.

Research Promotion Policy of RK University:

Preamble

Keeping in tandem with the rising global trend towards scientific innovation and research, accompanied by the thrust of Indian government's regulatory agencies, accreditation agencies, funding agencies, academic ranking agencies and the expectations of industry and corporate sector for quality and quantum of research work; RK University has resolved to create a research-friendly environment in the university. To motivate and facilitate research at various levels of faculties and students at the constituent schools, the University has formulated a research and IPFC Policy, which is outlined below:

1. RKU shall endeavour to appoint professors with established research credentials and track record from research institutes - only for research work.
2. RKU shall endeavour to Invite professors from reputed institutes on sabbatical leaves for joint research projects with RK University research scholars and faculties.
3. Preference shall be given to recruitment of full time PhD scholars.

4. All RKU faculty members shall be motivated to get registered in a PhD program (in RKU or any recognized university) on or before completion of their 3 year's total teaching/research experience at RKU.
5. Faculty members guiding post-graduate students should apply for one funded project as Principal Investigator and publish one research paper as per RKU guidelines, in the capacity of first or last author.
6. Faculty having experience of more than two years but not guiding post-graduate students must publish at least one research paper in the capacity of first or last author as per RKU guidelines.
7. University shall give up to 10 % of research funded amount as bonus to faculty members on successful completion of funded research project.
8. Faculty members will get up to 80 % of the surplus arising out of consultation and 90 % of the surplus arising out of commercialization of patents generated by him.
9. University shall reimburse an allowance of Rs. 6000/- per year per PG Research project under seed money to PG Guide against expenses incurred by him for each research project.
10. University shall provide financial support to faculty members based on their designation for participation in FDP, STTP, conferences as below

Designation	Yearly financial Support
Lecturer/Assistant Professor	Rs. 5,000/-

Associate Professor	Rs. 7,000/-
Professor	Rs. 10,000/-
Deputy Director/Director/Dean	Rs. 25,000/-

13. University shall provide a reward of Rs. 5000/- per research publication in journal (as per RKU guidelines) to faculty members.

14. University shall offer research fellowship to promote a research environment amongst faculty members by providing financial support of Rs. 50000/- per year per faculty after scrutinizing the research proposals.

IPR Policy of RK University:

A Patent is a statutory right for an invention granted for a limited period to the patentee by the Government, in exchange of full disclosure of his invention for excluding others, from making, using, selling, importing the patented product or process for producing that product for those purposes without his consent. Academic and Research Institutions are concerned with successfully managing projects and research initiatives and the protection of their IP Rights which will benefit all stakeholders. RK University desires to establish the link between the Government and inventive minds of the University. The University would hold the sole right to protect the inventions by the young researchers for their future novel ventures.

Objectives

- To create an awareness about IPR in faculty members and students of the University.
- To impart training on future endeavors regarding patent filing processes.
- To conduct workshops, seminars and training courses on IPR.
- To create an opportunity for Product development and Commercialization.

RK University provides for patents as follows:

Patents: - It shall be competent for the Executive Council to take out patents in respect of any discovery or invention made by the teachers or research students working in the University.

- Right to be in joint name: -The patent shall be taken in the joint names of the University and the person responsible for the discovery or invention.
- Expenses of registration: -The expenses in connection with the registration of patents shall be borne by the University.
- Sharing of profits-Any profit accruing from the patent shall be shared equally between the University and the person responsible for the invention or discovery.
- Exploitation of patents: -The person responsible for the invention or discovery shall render free service to the University in connection with the exploitation of the patent. The terms on which patents may be

offered for exploitation shall be determined solely by the Executive Council.

RK University is obliged to:

- Promote and encourage application oriented scientific research;
- Make reach of inventions to the common man through university supervision.
- Encourage, assist and provide mutually beneficial rewards to the University and inventory members.
- University to secure sponsored research funding at all levels of research.

Intellectual Property Rights shall include:

Intellectual Property Rights shall include Patents, Trademarks, Copyrights, Trade Secrets and other species such as computer software or printed material, any new and useful process, machine, composition of matter, life form, article of manufacture, software, copyrighted work, such things as new or improved devices, circuits, chemical compounds, drugs, genetically engineered biological organisms, data sets, software, musical processes, or unique and innovative uses of existing inventions. For the purposes of these Ordinances Intellectual Property may or may not be patentable or copyrightable.

Ownership of Intellectual Property

- IP shall be owned by the University if created as a result of University research or created by substantial use of University facilities or resources.
- The Creator at his option may retain ownership when the IP developed without use of University resources.
- The University faculty and students may publish their research outputs provided that are not copyrightable/patentable intellectual property.

Intellectual Property Rights can be from

- Assignments undertaken by the University from external agencies or self-made projects.
- Individual or a team of researchers.

The University Shall

Educate faculty members, staff and others regarding University's intellectual property.

- Provide legal support.
- Report applicable laws and regulations in a timely manner.

The Creator Shall

- Disclose the invention in a thorough manner.
- Provide assistance throughout the period of Intellectual property rights procedures.

IPR Scrutiny Committee:

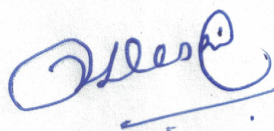
- The IPR Scrutiny Committee will select a project which is having the potential to reach the IP filing stage.
- The members of IPR Scrutiny Committee will sign a non-disclosure agreement with the University.

The IPR Scrutiny Committee shall have Organizational Structure as follows:

Sr. no.	Designation	Nomination
1.	Provost (VC), RK University	Chairman
2.	Academic Expert with IP knowledge	Member (2)
3.	Industry Expert with IP knowledge	Member (1)
4.	IPR Expert; having minimum Five years' experience in the field of IPR.	Member (1)

The proposed IPR Cell shall have Organizational Structure as follows:

Sr. no.	Designation	Nomination
1.	Dean, FDSR, RK University	Chairman
2.	Faculty Member, School of Pharmacy, RKU	IPR Cell coordinator Patent Adviser
3.	Faculty Member, School of Engineering, RKU	Patent Advisor



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